

October 30, 2023

To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot C/1,
G Block, Bandra-Kurla Complex
Mumbai – 400051

Sub: Intimation of appointment of Chief Compliance Officer under Regulation 51 read with Part B of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

In terms of Regulation 51 read with Part B of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Board of Directors of the Company at its meeting held on October 30, 2023, based on the recommendations of the Nomination and Remuneration Committee, approved the appointment Mr. Amit Kothari as the Chief Compliance Officer with effect from October 30, 2023, in terms of circular issued by Reserve Bank of India on Compliance Function and Role of Chief Compliance Officer (CCO)-NBFCs, dated April 11, 2022.

The details of such an appointment are given in Annexure – A.

This intimation shall be made available on the website of the Company viz www.fin.alt-alpha.com

You are requested to kindly take the above on record.

Thanking you,
Yours Sincerely,

For Alpha Alternatives Financial Services Private Limited
(Formerly known as Provincial Finance and Leasing Co Private Limited)


Shreyans Mehta
Director
DIN: 06756771



Annexure-A

Sr.No.	Particulars	Details
1	Reason for Change / Appointment	The appointment is made pursuant to RBI Circular on Compliance Function and Role of Chief Compliance Officer (CCO) - NBFCs, dated April 11, 2022.
2	Effective date of Appointment	October 30, 2023
3	Brief Profile	<p>Amit Kothari has over 27 years expertise in financial roles across prominent organizations in India. Holding prestigious designations such as Chartered Accountant, Chartered Financial Analyst (USA), and Financial Risk Manager (GARP).</p> <p>Currently serving as the Partner and Chief Operating Officer at Alpha Alternatives since January 2022, Amit brings his wealth of experience to drive the company's success. His journey includes a 7-year tenure at Edelweiss, where he spearheaded various critical functions for the Global Markets business, encompassing mid-office, risk management, financial reporting, compliance, HR, technology, counterparty onboarding, and management.</p> <p>Amit's impactful career extends to his role as Director of Treasury Execution Services at Barclays Bank Plc India, where he managed the Mumbai Branch's balance sheet, focusing on fund-raising and liquidity management. His earlier experience at Kotak Mahindra Bank Ltd and the initiation of his career at Price Waterhouse, Chartered Accountants, further enrich his diverse professional background.</p> <p>Amit's global exposure includes brief stints in New York, London, and Singapore, reflecting the breadth of his international experience and adaptability in different financial environments.</p>



Alpha Alternatives Financial Services Private Limited
(Formerly known as Provincial Finance and Leasing Co Private Limited)
(CIN: U65923MH1993PTC075162)

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